

JUL 10. 2002 4:28PM

WELSH & KATZ LTD. 312 655 1502

NO. 0135 P. 1

#9
J.D.
7-30-02

WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
Phone (312) 655-1500
Facsimile Number (312) 655-1501

FACSIMILE COVER SHEET

From: Jon P. Christensen, ESQ.

July 10, 2002

To: Elizabeth McChesney

Fax: 703-746-7288

Number of pages including this cover letter: 29

Re: 0153-83085

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83085

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Narumi et al.

Serial No.: 09/909,197

Filed: 7/19/01

Examiner: N/A

For: **Removable Power Supply for an Audio Device**

Fax No. 703 / 746-7288

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following Response to Notice to File Missing Parts which was filed on October 19, 2001 is being facsimile transmitted to the Patent and Trademark Office on the date shown below:

Dated: July 10, 2002


Jon P. Christensen
Registration No. 34,137

In re U.S. Patent Application
Serial No. 09/909,197
Filed July 19, 2001
Tomoyuki NARUMI et al.
"Removable Power Supply for
an Audio Device"

JPC/pb

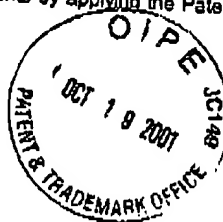
Mailed Oct. 19, 2001
Docket No. 0153-83085
Express Mail No. EL854694571US

Certificate of Express Mailing; Completion of Filing Requirements; Copy of Notice to File
Missing Parts dated 08/30/01; Executed Declaration; Assignment and Recordation Form Cover
Sheet; 14 sheets of format drawings; Supplemental Application data sheet; Fee transmittal
sheet; fee check \$170; Postcard receipt.

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Please acknowledge receipt of the above-identified documents by applying the Patent and
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Respectfully,
Welsh & Katz, Ltd.



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10-18-2001	101801-2	142838	015383085 - pb	170.00		
VENDOR: COMMISSIONER OF PATENTS				REF #	TOTAL	\$170.00

Date: October 19, 2001
File No. 0153-83085

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Narumi, et al. Group Art Unit: 2644
Serial No.: 09/909,197
Filed: July 19, 2001
For: "Removable Power Supply for an
 Audio Device"

COMMISSIONER FOR PATENTS
Washington D.C. 20231

Sir:

Completion of Filing Requirements

Transmitted herewith in response to the Office letter dated 08/30/01 are:

- (X) Copy of the Office letter dated 08/30/01.
- (X) Declaration or oath for this application
- () Filing fee for this application.
- (X) Assignment(s) of the invention to Audio-Technica U.S., Inc.
- () Petition for Extension of Time.
- (X) Other Express Mailing Certificate (Express Label No. EL854694571US)
- (X) Other 14 sheets of formal drawings
- (X) Other Supplemental Application Data Sheet to correct given name of Inventor Two
- (X) A check in the amount of \$170 to cover the filing fee and/or surcharge and/or assignment recording fee is enclosed.
- () A check in the amount of \$ to cover the fee for recording the assignment(s) is enclosed.
- () Charge \$ _____ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper amount be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
(312) 655-1500

WELSH & KATZ, LTD.

By:


Jon P. Christensen
Registration No. 34,137

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Approved for use through 10/31/02. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	09/908,197
		Filing Date	July 19, 2001
		First Named Inventor	Narumi, et al
		Examiner Name	
		Group/Art Unit	2644
		Attorney Docket Number	0153-83083
TOTAL AMOUNT OF PAYMENT (\$)		170.00	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 23-0920 Deposit Account Name: WELSH & KATZ, LTD. <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR, 1.16 and 1.17		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge-late filing fee or oath</td><td>130.00</td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English Specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>820*</td><td>112 820* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>116</td><td>110</td><td>215 55 Extension for reply within first month</td><td></td></tr> <tr><td>118</td><td>380</td><td>216 185 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217 445 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218 695 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228 945 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219 165 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220 155 Filing a Brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221 135 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241 620 Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242 620 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243 220 Design issue fee</td><td></td></tr> <tr><td>144</td><td>800</td><td>244 300 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126 240 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>148</td><td>710</td><td>248 355 Filing a submission after final rejection (37 CFR § 1.128(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249 355 For each additional invention to be examined (37 CFR § 1.128(b))</td><td></td></tr> <tr><td>178</td><td>710</td><td>278 355 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169 900 Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge-late filing fee or oath	130.00	127	50	227 25 Surcharge-late provisional filing fee or cover sheet		139	130	139 130 Non-English Specification		147	2,520	147 2,520 For filing a request for reexamination		112	820*	112 820* Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		116	110	215 55 Extension for reply within first month		118	380	216 185 Extension for reply within second month		117	890	217 445 Extension for reply within third month		118	1,390	218 695 Extension for reply within fourth month		128	1,890	228 945 Extension for reply within fifth month		119	310	219 165 Notice of Appeal		120	310	220 155 Filing a Brief in support of an appeal		121	270	221 135 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1,240	241 620 Petition to revive - unintentional		142	1,240	242 620 Utility issue fee (or reissue)		143	440	243 220 Design issue fee		144	800	244 300 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	240	126 240 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00	148	710	248 355 Filing a submission after final rejection (37 CFR § 1.128(a))		149	710	249 355 For each additional invention to be examined (37 CFR § 1.128(b))		178	710	278 355 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application	
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jon P. Christensen	Registration No. (Attorney/Agent)	34,137
Signature		Telephone	312-655-1500
		Date	Oct. 19, 2001

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,197	07/19/2001	Tomoyuki Narumi	0153-83085

CONFIRMATION NO. 9733

FORMALITIES LETTER



OC000000006495677

Jon P. Christensen
Wish & Katz, Ltd.
22nd Floor
120 South Riverside Plaza
Chicago, IL 60606

Date Mailed: 08/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

JUL. 10. 2002 4:30PM

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